SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-02										
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Shahar Shai			2. Issuer Name and Ticker or Trading Symbol <u>FORMFACTOR INC</u> [FORM]	(Check	tionship of Reporting Pers all applicable) Director	on(s) to Issuer 10% Owner Other (specify				
(Last) 7005 SOUTHFF	(First) RONT RD.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/27/2021	Х	Officer (give title below) CFO, SVP Globa	below)				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
LIVERMORE	CA	94551		x	Form filed by One Repo	orting Person				
(City)	(State)	(Zip)			Form filed by More thar Person	one Reporting				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	ate, Transaction Code (Instr.		4. Securities / Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	08/27/2021		М		7 , 200 ⁽¹⁾	Α	\$ <mark>0</mark>	46,731	D	
Common Stock	08/27/2021		F		3,88 7 ⁽²⁾	D	\$39.25	42,844	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		ction of Expiration		Expiration Da	Expiration Date Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Restricted Stock Units	\$0.0	08/27/2021		М			7,200	(1)	(3)	Common Stock	7,200	\$0	14,400	D		

Explanation of Responses:

1. The Restricted Stock Units vest in three annual installments on each August 27, 2021, 2022, and 2023 and will be settled into shares of common stocks on or following the vesting dates

2. Represents the number of shares withheld upon vesting of restricted stock units to cover tax withholding obligations.

3. If the reporting person's employment is terminated for any reason before an applicable vesting date, all restricted stock units that have not yet vested shall be forfeited without consideration, except as provided in the change of control severance agreement and any other agreements regarding equity vesting and exercisability between the reporting person and Issuer, which agreements or form agreements are filed with the SEC.

Remarks:

Jason Cohen, attorney-in-fact 08/27/2021

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

for Shai Shahar

Date