### SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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| hours per response: | 0.5 |

| 1. Name and Address of Reporting Person*<br><u>LUDWIG MICHAEL M</u> |               |                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>FORMFACTOR INC</u> [ FORM ] |                        | tionship of Reporting Pers<br>all applicable)<br>Director                                  | 10% Owner                |
|---|---------------|----------------|--|------------------------|--|--------------------------|
| (Last) (First) (Middle)<br>7005 SOUTHFRONT ROAD                     |               | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/05/2017                       | X                      | Officer (give title<br>below)<br>SR VP, CF   | Other (specify<br>below) |
| (Street)<br>LIVERMORE<br>(City)                                     | CA<br>(State) | 94551<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)                             | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Repo<br>Form filed by More thar<br>Person | orting Person            |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   | 4. Securities /<br>Disposed Of (<br>5) |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------|---|--|---------------|-------------------|---|---|---|
|                                 |  |   | Code | v | Amount                                 | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.4)  |
| Common Stock                    | 05/05/2017                                 |   | М    |   | 15,000                                 | Α             | \$ <mark>0</mark> | 254,369   | D   |   |
| Common Stock                    | 05/05/2017                                 |   | F    |   | 5,637 <sup>(1)</sup>                   | D             | \$14.25           | 248,732   | D   |   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of          |               | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------------|---------------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | 3, 4<br>(A) | and 5)<br>(D) | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | (Instr. 4)   |  |  |
| Restricted<br>Stock<br>Units                        | \$0.0   | 05/05/2017                                 |   | М                            |   |             | 15,000        | (2)  | (3)                | Common<br>Stock   | 15,000                                 | \$0   | 0  | D  |  |

#### Explanation of Responses:

1. Exempt transaction pursuant to Section 16b-3(e) - payment of exercise price or tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3. All of the shares reported as disposed of in this Form 4 were relinquished by the Reporting Person and cancelled by the Issuer in exchange for the Issuer's agreement to pay federal and state tax withholding obligations of the Reporting Person resulting from the vesting of RSUs. The Reporting Person did not sell or otherwise dispose of any of the shares for any reason other than to cover required taxes. 2. The Restricted Stock Units vest and are exercisable in three annual installments on each May 5 of 2015, 2016, and 2017 (or the first market trading day during an open trading window under the Issuer's

insider trading policy thereafter if the applicable vesting date is not on a market trading day during an open trading window).

3. The restricted stock units released were settled in shares of common stock and were immediately cancelled upon settlement

#### **Remarks:**

By: Jason Cohen For: Michael

05/09/2017

\*\* Signature of Reporting Person Date

M Ludwig

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.