SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Eiled pursuant to Section 16(a) of the Securities Exchange Act of 1034

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	or Section 30(h) of the Investment Company Act of 1940	
1. Name and Address of Reporting Person [*] LUDWIG MICHAEL M	2. Issuer Name and Ticker or Trading Symbol FORMFACTOR INC [FORM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) (First) (Middle 7005 SOUTHFRONT ROAD	3. Date of Earliest Transaction (Month/Day/Year) 02/20/2018	X Officer (give title Other (specify below) below) SR VP, CFO
(Street) LIVERMORE CA 94551 (City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	02/20/2018		М		2,500	A	\$10.37	242,887	D	
Common Stock	02/20/2018		S		2,500	D	\$13.375 ⁽¹⁾	240,387	D	
Common Stock	02/21/2018		М		25,000	A	\$10.37	265,387	D	
Common Stock	02/21/2018		S		25,000	D	\$13.0537(2)	240,387	D	
Common Stock	02/22/2018		М		17,500	A	\$10.37	257,887	D	
Common Stock	02/22/2018		S		17,500	D	\$13 ⁽³⁾	240,387	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option (right to buy)	\$10.37	02/20/2018		М			2,500	(4)	04/29/2018	Common Stock	2,500	\$10.37	42,500	D	
Non- Qualified Stock Option (right to buy)	\$10.37	02/21/2018		М			25,000	(4)	04/29/2018	Common Stock	25,000	\$10.37	17,500	D	
Non- Qualified Stock Option (right to buy)	\$10.37	02/22/2018		М			17,500	(4)	04/29/2018	Common Stock	17,500	\$10.37	0	D	

Explanation of Responses:

1. Price represents the sale price for the transaction reported. Price of the shares sold is \$13.375. Reporting person undertakes to provide upon request by the SEC staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

2. Price represents the weighted average sale price for the transaction reported. Price range of shares sold is \$12.95 through \$13.2. Reporting person undertakes to provide upon request by the SEC staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

3. Price represents the sale price for the transaction reported. Price of shares sold is \$13.00. Reporting person undertakes to provide upon request by the SEC staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

4. The Stock Option was granted pursuant to the Issuer's 2002 Equity Incentive Plan and vest over 4 years, with 25% vesting on April 29, 2012 and the remainder vesting in equal annual installments over the following three years.

Remarks:

Represents the exercise and sale of non-qualified stock options due to expire on April 29, 2018.

By: Jason Cohen, attorney-infact, for Michael M Ludwig ** Signature of Reporting Person

02/22/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.